

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

jc685 U.S. PTO



03/13/01

Hon. Commissioner of Patents  
Washington, DC 20231

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) &amp; (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



00909

**RULE 53(f) NO DECLARATION**

Atty. Dkt.

P 279062

M#

000169 BT

Client Ref

Date:

March 13, 2001

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

2. (Complete) Title: NEW NUCLEOTIDE SEQUENCES ENCODING THE cta GENE

without a filing fee or Oath/Declaration but for which is enclosed the following:3. ☒ Abstract 1 page(s).4. 41 Pages of Specification (only spec. and claims); 5. ☒ Specification in non-English language

6. 19 Numbered claim(s); and

7. ☒ Drawings: 1 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"**DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)			

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in GERMANY

Application No.	Filing Date	Application No.	Filing Date
(1) 100 42 740.5	August 31, 2000	(2) 101 08 463.3	February 22, 2001
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_12. ☐ This is a reissue of Patent No. \_\_\_\_\_13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☒ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:14(a) ☐ National Appln. No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_ -- (M# \_\_\_\_\_)14(b) ☐ International Appln. No. PCT/ \_\_\_\_\_ filed \_\_\_\_\_ which  
designated the U.S., and that International Application ☐ was ☐ was not published  
under PCT Article 21(2) in English.--15. ☐ **Amend the specification** by inserting before the first line: --This application  
claims the benefit of U.S. Provisional Application No. 60/ \_\_\_\_\_, filed \_\_\_\_\_ --16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pre-filing confirmation required)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not  
essential to make claim)

18. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Bettina	MOCKEL	
	First	Middle Initial	Family Name
Residence	Dusseldorf	GERMANY	GERMANY
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Mailing Address			
(include Zip Code)			

(2) Inventor	Mike	FARWICK	
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Mailing Address			
(include Zip Code)			

(3) Inventor	Thomas	HERMANN	
	First	Middle Initial	Family Name
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(include Zip Code)			

(4) Inventor	Achim	MARX	
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Mailing Address			
(include Zip Code)			

(5) Inventor	Walter	PFEFFERLE	
	First	Middle Initial	Family Name
Residence	Halle	GERMANY	GERMANY
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP  
Intellectual Property Group



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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments